

# Appendix D. Cover Page

## NOAA SBIR Phase II Cover Page

NOFO No.: NOAA-OAR-OAR-TPO-2022-2007174

Closing Date: April 1, 2022

Name of Submitting Firm:

Address of Firm (including Zip Code +4):

Title of Proposed Project:

Requested Amount:

Proposed Duration:

NOFO Topic No.:

NOFO Topic Title:

THE ABOVE ORGANIZATION CERTIFIES THAT:

- |   |     |    |
|---|-----|----|
| 1. It is a small business firm as defined in this NOFO.   | Yes | No |
| 2. The primary employment of the principal investigator will be with the firm at the time of award and during the conduct of the research.  | Yes | No |
| 3. A minimum of ⅓ for Phase I and 1/2 for Phase II of research will be performed by this firm.  | Yes | No |
| 4. It qualifies as a minority and disadvantaged small business as defined in this NOFO.   | Yes | No |
| 5. It qualifies as a woman-owned small business as defined in this NOFO.  | Yes | No |
| 6. Will all work on project be performed in the United States   | Yes | No |
| 7. Will all supplies, equipment and materials used, leased and/ or purchased in the U.S.  | Yes | No |
| 8. It will permit the government to disclose contact information of the corporate official of your concern, if your proposal does not result in an award, to appropriate local and State-level economic development organizations that may be interested in contacting you for further information. | Yes | No |
| 9. It authorizes contact information and project title to be provided to the NIST Manufacturing Extension Partnership (MEP) Program after awards have been announced.   | Yes | No |

10. This firm and/or Principal Investigator has / has not submitted proposals for essentially equivalent work under other federal program NOFOs, or has / has not received other federal awards for essentially equivalent work.

11. The applicant and/or any of its principals are / are not presently debarred, suspended, proposed for debarment, or declared ineligible for procurement and nonprocurement transactions (FAR subpart 9.4 or 2 C.F.R. Part 180) by any Federal agency; and have / have not within a three-year period preceding this application, been convicted of or had a civil judgment rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a Federal, state or local government contract or subcontract or financial assistance award; violation of Federal or state antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion, or receiving stolen property; and are / are not presently indicted for, or otherwise criminally or civilly charged by a Government entity with, commission of any of these offenses.

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|--|-----|----|
| 12. It is a veteran-owned small business concern.  | Yes | No |
| 12a. It is a service-disabled veteran-owned small business concern.  | Yes | No |
| 13. It is a HUBZone small business concern listed, on the date of this representation, on the List of Qualified HUBZone Small Business Concerns maintained by the Small Business Administration, and no material change in ownership and control, principal office of ownership or HUBZone employee percentage has occurred since it was certified by the Small Business Administration in accordance with 13 CFR Part 126 | Yes | No |
| 14. Does this application include subcontracts, or other cost or no cost collaborations with Federal Laboratories or any Federal Agencies? If yes, insert the names of the Federal Laboratories/Agencies.  | Yes | No |
| 15. Is your small business majority owned by Venture Capital operating companies, Hedge Funds, or Private Equity Funds?  | Yes | No |
| 16. The company has completed the Fraud, Waste, and Abuse training available on <a href="http://techpartnerships.noaa.gov/SBIR.aspx">http://techpartnerships.noaa.gov/SBIR.aspx</a> and attached the completed certification page.   | Yes | No |

**PRINCIPAL INVESTIGATOR**

Name: \_\_\_\_\_ Title: \_\_\_\_\_ Day Telephone No.: \_\_\_\_\_  
 Signature & Date: \_\_\_\_\_ Email: \_\_\_\_\_ Address: \_\_\_\_\_

**CORPORATE OFFICIAL (BUSINESS)**

Name: \_\_\_\_\_ Title: \_\_\_\_\_ Day Telephone No.: \_\_\_\_\_  
 Signature & Date: \_\_\_\_\_ Email: \_\_\_\_\_ Address: \_\_\_\_\_

**OTHER INFORMATION**

Year Firm Founded	Number of Employees: Avg. Previous 12 mos.	Currently		
Has a proposal for essentially equivalent work been submitted to another agency? If yes, what Agency?			Yes	No
Is your company registered in SAM?			Yes	No
Taxpayer Identification Number: _____				
Data Universal Numbering System (DUNS) Number: _____				

**PROPRIETARY NOTICE**

This proposal contains information that shall not be disclosed outside the Federal Government and shall not be duplicated, used, or disclosed in whole or in part for any purpose other than evaluation of this proposal, unless otherwise authorized by law. If an award is made to this applicant as a result of or in connection with the submission of this proposal, the Government shall have the right to duplicate, use, or disclose the proprietary information to the extent provided in the resulting award and pursuant to applicable law. This restriction does not limit the Government's right to use information contained in the proposal if it is obtained from another source without restriction. The information subject to this restriction is contained on pages \_\_\_\_\_ of this proposal.